

State of Florida, Department of Financial Services Division of Workers' Compensation, Bureau of Compliance Penalty Calculation Worksheet

BUSINESS NAME: _____

DWC Case No. _____
TOTAL PENALTY: _____

Part 1. Penalty for failure to obtain coverage that meets the requirements of Chapter 440, F.S. and the Insurance Code.									
Employee Name	Calculation Methodology	(a) Class Code	(b) Period of Non-Compliance	(c) Gross Payroll *	(d) Column (c) / 100	(e) Approved Manual Rate	(f) Premium (d) x (e)	(g) Penalty** (f) x 2	
1	<input type="radio"/> Imputed <input type="radio"/> AWW <input checked="" type="radio"/> Records				0		0.00	0.00	
2	<input type="radio"/> Imputed <input type="radio"/> AWW <input checked="" type="radio"/> Records				0		0.00	0.00	
3	<input type="radio"/> Imputed <input type="radio"/> AWW <input checked="" type="radio"/> Records				0		0.00	0.00	
4	<input type="radio"/> Imputed <input type="radio"/> AWW <input checked="" type="radio"/> Records				0		0.00	0.00	
5	<input type="radio"/> Imputed <input type="radio"/> AWW <input checked="" type="radio"/> Records				0		0.00	0.00	
6	<input type="radio"/> Imputed <input type="radio"/> AWW <input checked="" type="radio"/> Records				0		0.00	0.00	
7	<input type="radio"/> Imputed <input type="radio"/> AWW <input checked="" type="radio"/> Records				0		0.00	0.00	
8	<input type="radio"/> Imputed <input type="radio"/> AWW <input checked="" type="radio"/> Records				0		0.00	0.00	
9	<input type="radio"/> Imputed <input type="radio"/> AWW <input checked="" type="radio"/> Records				0		0.00	0.00	
Part 1 Penalty Sub-Totals: ***					0		0.00	0.00	

* If the employer has failed to provide business records sufficient to enable the Department to determine the employer's payroll, payroll shall be imputed to be the statewide average weekly wage as defined in § 440.12(2) Fla. Stat. multiplied by 2, (§ 440.107(7)(e), Fla. Stat.).

** Premium multiplied by statutory factor of 2 § 440.107(7)(d)(1), Fla. Stat.

*** Greater of penalty under column (g) or \$1000 § 440.107(7)(d)(1), Fla. Stat.

**** Credit for the Purchase of insurance or by entering into a contract with a licensed employee leasing company

Penalty for Part 1:	0.00
Credit ****	
Sub-total	0
Penalty for Part 2	
Penalty for Part 3	
Penalty for Part 4	
Penalty for Part 5	
TOTAL PENALTY for Parts 1, 2, 3, 4, 5:	0

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Credit § 440.107(5), Fla. Stat. 2014; Rule 69L-6.028, Fla. Admin.Code

Credit Paid	Credit Amount	User ID	Date	Time
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Part 2. Penalty for Materially Underreporting or Concealing Payroll										
(a) Actual Gross Payroll	(b) Column (a) / 100	(c) Class Code	(d) Approved Manual Rate For Class Code	(e) Premium (b) x (d)	(f) Adjustment For Premium Paid	(g) Penalty Due (e) - (f) * 2	(h) Policy Period	User ID	Date	Time
						0				
						0				
						0				
						0				
						0				
Part 2 Penalty Sub-Totals:					0	0			0.00	

** Premium multiplied by statutory factor of 2 § 440.107(7)(d)(1), Fla. Stat.
 Rule 69L-6.027
 DFS-F4-1595
 Rev. 07/14

TOTAL PENALTY for Parts 1, 2, 3, 4, 5: _____

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Part 3. Penalty for materially misrepresenting or concealing employee duties to avoid proper classification for premium calculations.												
(a) Gross Payroll	(b) Column (a) / 100	(c) Proper Class Code	(d) Approved Manual Rate For Proper Class Code	(e) Premium (b) x (d)	(f) Adjustment For Manual Premium Based on Improper Class Code ***	(g) Premium Avoided (e) - (f)	(h) Penalty Due (g) X 2	(i) Policy Period		User ID	Date	Time
				0.00	0		0					
				0.00	0		0					
				0.00	0		0					
				0.00	0		0					
Part 3 Penalty Sub-Totals:							0	0				

** Premium multiplied by statutory factor of 2 § 440.107(7)(d)(1), Fla. Stat.
*** Gross payroll / 100 x approved manual rate for Improper class code

TOTAL PENALTY for Parts 1, 2, 3, 4, 5: _____

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Part 4. Penalty for violating Stop Work Order. § 440.107(7), Fla. Statutes

(a) From	(b) To	(c) Number of Days	Penalty	Date	Time
				0	
				0	
				0	
				0	

Part 4 Penalty Sub-Totals: **0**

** Premium multiplied by statutory factor of 2 § 440.107(7)(d)(1), Fla. Stat.
 NOTE: Penalty in Part 4 equals number of days in violation multiplied by \$1,000

Rule 69L-6.027
 DFS-F4-1595
 Rev. 07/14

TOTAL PENALTY for Parts 1, 2, 3, 4, 5: _____

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Part 5. Penalty for misclassifying employee as independent contractor. § 440.10(1)(f) or 440.107(7)(f), Fla. Statutes

(a) Employee Name	(b) Penalty	User ID	Date	Time

Part 5 Penalty Sub-Totals:

TOTAL PENALTY for Parts 1, 2, 3, 4, 5: